

## **Administrative Regulation — Administration of Research Activities**

As set out in *Governing Regulation I — Declaration of Principles*, research is core to supporting the multifaceted mission of the University of Kentucky. Research that ignites discovery to advance Kentucky is central to the University's mission as a leading public research institution.

### **I. Definitions**

#### **A. Researcher**

A researcher is any University employee or student contributing to research — not as a study participant — as well as any participating partner engaged by or with the University in research (e.g., any individual or entity who, though not a University employee or student or study participant, engages in activities or provides services that contribute to or have bearing on University research activities). These can include persons and entities external to the University.

#### **B. Research**

Research is activity that encompasses any academic or scholarly work involving intellectual contribution and includes output disseminated by the University or within the scope of a researcher's role or their association with the University's research.

## **II. Authority and Funding of Research Activities**

On behalf of the University, the Vice President for Research is responsible for the submission of all proposals for grants, contracts and other awards for sponsored projects. Further, the Vice President for Research is responsible for the acceptance, negotiation and administration of research.

#### **A. University of Kentucky Research Foundation**

Except as determined appropriate by the Vice President for Research, the University of Kentucky Research Foundation (UKRF), an affiliated corporation of the University, is designated as the entity through which funds may be received, invested or expended in the interests of the University on research. When deemed appropriate by the Vice President for Research, UKRF may act as an agent of the University.

## **III. Facilitation and Safeguarding of Research Activities**

As set out in *Administrative Regulation — Administrative Authority of the President*, the Vice President for Research will work with the President and other cabinet

members on the establishment and administration of innovative initiatives, including establishing and managing research centers and institutes.

#### **A. Improper Conduct in Research**

All research activities must be conducted in accordance with legal, contractual, ethical or otherwise established standards. In conjunction with any policies and procedures related to improper conduct in research, as set out in *Administrative Regulation — Due Process*, alleged violations of improper conduct in research will be reviewed through due process proceedings.

#### **B. Conflicts of Interest**

Everyone engaged in the performance of research must comply with applicable laws and University regulations, policies and procedures on those issues.<sup>1</sup>

#### **C. Human Subject Protection**

The University will comply with federal law concerning the participation of human subjects.<sup>2</sup>

#### **D. Animal Research Protection**

The University will comply with federal law concerning the care and use of animals in research.<sup>3</sup>

#### **E. Biological, Chemical and Radiation Safety**

The University will comply with all federal and state laws concerning biological, chemical and radiation safety.<sup>4</sup>

#### **F. Research Data and Materials Security**

The University will comply with federal and state laws concerning research data and materials security.<sup>5</sup>

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<sup>1</sup> [University Regulations](#); [UK Research Resources](#)

<sup>2</sup> [42 U.S.C. 289](#); [45 CFR Part 46](#)

<sup>3</sup> [7 U.S.C. 2131-2156](#); [9 CFR 1-3](#)

<sup>4</sup> [42 U.S.C. § 262a](#); [7 U.S.C. § 8401](#); [18 U.S.C. § 175](#); [42 C.F.R. Part 73](#); [7 C.F.R. Part 331](#); [9 C.F.R. Part 121](#); [Biosafety in Microbiological and Biomedical Laboratories](#); [NIH Guidelines](#); [OSH Act](#); [Toxic Substances Control Act](#); [Emergency Planning and Community Right-to-Know Act \(EPCRA\)](#); [Comprehensive Environmental Response](#).

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Compensation, and Liability Act (CERCLA); 29 C.F.R. 1910.1200; 40 C.F.R. Parts 68, 302, 370; OSHA/EPA MOU; Safety Data Sheets Requirements; Atomic Energy Act; Energy Reorganization Act; 10 C.F.R. Part 20; 40 C.F.R. Parts 190, 191, 192; 29 C.F.R. 1910.1096; NRC guidance; EPA radiation standards

<sup>5</sup> Executive Order 13556; 32 C.F.R. Part 2002; 44 U.S.C. § 3551 et seq.; 28 C.F.R. Part 202; FAR 52.204-21; DFARS 252.204-7012; DFARS 252.204-7019; DFARS 252.204-7020; DFARS 252.204-7021; NIST SP 800-171; NIST SP 800-171A; NIST SP 800-172; NSPM-33; OSTP Research Security Guidance; CHIPS and Science Act; FERPA (34 C.F.R. Part 99); HIPAA (45 C.F.R. Part 160); HIPAA (45 C.F.R. Part 164); ITAR (22 C.F.R. 120-130); EAR (15 C.F.R. 730-774); KRS 369.101-369.120; 302 KAR 22:070; KRS 211.842-211.852; 902 KAR 100:019; 902 KAR 100:100; 902 KAR 100:200; KRS 61.931-61.934; KRS 81.870-61.884; KRS 171.410-171.740

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